

**EXTRACT OF ANNUAL RETURN (Form MGT-9)**

As on the financial year ended on 31 March 2020

[Pursuant to Section 92(3) of the Companies Act 2013, and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

<b>I Registration and other details</b>					
1	<b>Corporate Identification Number (CIN)</b>	U65923PN2014PLC150522			
2	<b>Registration Date</b>	7 February 2014			
3	<b>Name of the Company</b>	Bajaj Finserv Direct Limited			
4	<b>Category/Sub-category of the Company</b>	Company Limited by shares/Indian Non-Government Company			
5	<b>Address of the registered office and contact details</b>	Bajaj Auto Limited Complex, Mumbai - Pune Road, Akurdi, Pune, Maharashtra, - 411035 E-mail Id:- sonal.tiwari@bajajfinserv.in Tel. No:- (020) 66107458			
6	<b>Whether listed company</b>	No			
7	<b>Name, address and contact details of the Registrar and Transfer Agent</b>	-			
<b>II Principal business activities of the Company</b>					
Sr. No.	Name and Description of main products / services	NIC Code of the product/service	% to total turnover of the Company		
1	activities, except insurance and pension funding	64990	100%		
<b>III Particulars of holding, subsidiary and associate companies</b>					
Sr. No.	Name of the Company	CIN	Holding / Subsidiary / Associate	% of shares held as on 31 March 2020	Applicable section
1	Bajaj Finserv Ltd.	L65923PN2007PLC130075	Holding	100%	Section 2(46)

IV. SHARE HOLDING PATTERN (equity share capital breakup as percentage of total equity)

i) Category-wise shareholding

	Category of shareholders	No. of Shares held at the beginning of the year (As on 1-April-2019)				No. of Shares held at the end of the year (As on 31-March-2020)				% Change during the year
		Demat	Physical	Total	% of total shares	Demat	Physical	Total	% of total shares	
<b>A Promoters</b>										
<b>1 Indian</b>										
a	Individual/ HUF	-	-	-	-	-	-	-	-	-
b	Central Govt	-	-	-	-	-	-	-	-	-
c	State Govt(s)	-	-	-	-	-	-	-	-	-
d	Bodies Corporate	2,499,400	600	2,500,000	100%	2,499,400	600	2,500,000	100.00	100%
e	Banks / FI	-	-	-	-	-	-	-	-	-
f	Any other	-	-	-	-	-	-	-	-	-
	<b>Sub-Total (A) (1)</b>	<b>2,499,400</b>	<b>600</b>	<b>2,500,000</b>	<b>100.00</b>	<b>2,499,400</b>	<b>600</b>	<b>2,500,000</b>	<b>100.00</b>	<b>-</b>
<b>2 Foreign</b>										
a	NRIs-Individual	-	-	-	-	-	-	-	-	-
b	Others- Individuals	-	-	-	-	-	-	-	-	-
c	Bodies Corporate	-	-	-	-	-	-	-	-	-
d	Banks / FI	-	-	-	-	-	-	-	-	-
e	Any Other	-	-	-	-	-	-	-	-	-
	<b>Sub-Total (A) (2)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
	<b>Total shareholding of Promoter (A)=(A)(1)+(A)(2)</b>	<b>2,499,400</b>	<b>600</b>	<b>2,500,000</b>	<b>100.00</b>	<b>2,499,400</b>	<b>600</b>	<b>2,500,000</b>	<b>100.00</b>	<b>-</b>
<b>B Public Shareholding</b>										
<b>1 Institutions</b>										
a	Mutual Funds	-	-	-	-	-	-	-	-	-
b	Banks / FI	-	-	-	-	-	-	-	-	-
c	Central Govt	-	-	-	-	-	-	-	-	-
d	State Govt(s)	-	-	-	-	-	-	-	-	-
e	Venture Capital Funds	-	-	-	-	-	-	-	-	-
f	Insurance Companies	-	-	-	-	-	-	-	-	-
g	FIs/FPIs	-	-	-	-	-	-	-	-	-
h	Alternate Investment Fund	-	-	-	-	-	-	-	-	-
	Foreign Venture Capital	-	-	-	-	-	-	-	-	-
i	Funds	-	-	-	-	-	-	-	-	-
j	Others (specify)	-	-	-	-	-	-	-	-	-
	<b>Sub-total (B)(1):-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>2 Non-Institutions</b>										
<b>a Bodies Corp.</b>										
i	Indian	-	-	-	-	-	-	-	-	-
ii	Overseas	-	-	-	-	-	-	-	-	-
<b>b Individuals</b>										
	Individual shareholders holding nominal share capital upto Rs. 1 lakh	-	-	-	-	-	-	-	-	-
	Individual shareholders holding nominal share capital in excess of Rs 1 lakh	-	-	-	-	-	-	-	-	-
<b>c Others</b>										
i	Non Resident Indians	-	-	-	-	-	-	-	-	-
ii	Clearing Members	-	-	-	-	-	-	-	-	-
iii	Trusts	-	-	-	-	-	-	-	-	-
iv	NBFCs	-	-	-	-	-	-	-	-	-
v	Foreign Bodies - D R	-	-	-	-	-	-	-	-	-
vi	Foreign Nationals	-	-	-	-	-	-	-	-	-
vii	IEPF	-	-	-	-	-	-	-	-	-
	<b>Sub-total (B)(2):-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
	<b>Total Public Shareholding (B)=(B)(1)+ (B)(2)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
	Shares held by Custodian for GDRs	-	-	-	-	-	-	-	-	-
	<b>Grand Total (A+B+C)</b>	<b>2,499,400</b>	<b>600</b>	<b>2,500,000</b>	<b>100.00</b>	<b>2,499,400</b>	<b>600</b>	<b>2,500,000</b>	<b>100.00</b>	<b>-</b>

ii) Shareholding of promoters								
Sr. No.	Shareholder's name	Shareholding at the beginning of the year [As on 1-April-2019]			Shareholding at the end of the year [As on 31-March-2020]			% change in shareholding during the year
		No. of Shares	% of total shares of the Company	% of shares pledged / encumbered to total shares	No. of shares	% of total shares of the Company	% of Shares pledged / encumbered to total shares	
1	Bajaj Finserv Limited	2,499,400	99.98	-	2,499,400	99.98	-	-
2	Bajaj Finserv Limited Jointly with Rajivnayan Rahulkumar Bajaj	100	0.00	-	100	0.00	-	-
3	Bajaj Finserv Limited Jointly with Sanjivnayan Rahulkumar Bajaj	100	0.00	-	100	0.00	-	-
4	Bajaj Finserv Limited Jointly with Kevin D'sa	100	0.00	-	100	0.00	-	-
5	Bajaj Finserv Limited Jointly with V Rajagopalan	100	0.00	-	100	0.00	-	-
6	Bajaj Finserv Limited Jointly with J Sridhar	100	0.00	-	100	0.00	-	-
7	Bajaj Finserv Limited Jointly with Sreenivasan Sivasubramoniam	100	0.00	-	100	0.00	-	-
	<b>Total</b>	<b>2,500,000</b>	<b>100.00</b>	<b>-</b>	<b>2,500,000</b>	<b>100.00</b>	<b>-</b>	<b>-</b>

**(iii) Change in promoters' shareholding**

Sr.No.	Name of the promoters	Shareholding at the beginning of the year (1-4-2019)		Cumulative shareholding during the year (31-3-2020)	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
	<b>At the beginning of the year and at the end of the year- No change for the year ended 31 March 2020</b>	2,500,000	100.00	<b>2,500,000</b>	<b>100.00</b>

**(iv) Change in Top ten shareholders other than promoters (other than directors, promoters and holders of GDRs and ADRs)**

Sr.No.	Name of the shareholders	Shareholding at the beginning of the year [1-4-2019]		Cumulative shareholding during the year [31-3-2020]	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
		<b>Nil</b>			

v). Shareholding of Directors and Key Managerial Personnel					
S.No.	Name of the directors/key managerial personnel (KMP)	Shareholding at the beginning of the year		Cumulative shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
<b>Nil</b>					
<b>V. INDEBTEDNESS</b>					
As on 31 March 2020, indebtedness of the Company including interest outstanding/accrued, but not due for payment is nil.					

<b>VI. Remuneration of directors and key managerial personnel</b>						
<b>A. Remuneration to managing director, whole-time directors and / or Manager during financial year 2019-20- Not Applicable</b>						
<b>Sr. No.</b>	<b>Particulars of remuneration</b>	<b>(Managing Director)</b>			<b>Total amount (in Rs.)</b>	
1	<b>Gross Salary</b>					
	(a) Salary as per provisions contained in section 17 (1) of the income Tax Act, 1961	-	-	-	-	-
	(b) Value of perquisites under section 17 (2) of Income Tax Act, 1961	-	-	-	-	-
	(c) Profits in lieu of salary under section 17 (3) of the Income Tax Act, 1961	-	-	-	-	-
2	Stock Options	-	-	-	-	-
3	Sweat Equity	-	-	-	-	-
	Commission	-	-	-	-	-
4	-as % of profit	-	-	-	-	-
	-others, specify	-	-	-	-	-
5	Others - Contribution to PF etc.	-	-	-	-	-
	<b>TOTAL (A)</b>	-	-	-	-	-
	<b>Ceiling as per the Act</b>					
	Notes: Salary and perquisites include all elements of remuneration i.e. salary, allowances and benefits. No bonus, pension and performance linked incentive is paid to any of the directors. The Company has not issued any stock options to any of the directors. The Company does not have Managing Director.					
<b>B. Remuneration to other directors</b>						
<b>1. Independent Directors- Not Applicable</b>						
<b>Sr. No.</b>	<b>Particulars of Remuneration</b>					<b>Total</b>
i	Fee for attending Board / Committee Meetings	-	-	-	-	-
ii	Commission	-	-	-	-	-
iii	Others	-	-	-	-	-
	<b>TOTAL (B)(1)</b>					
<b>2. Non-Executive Directors- None of the directors are being paid remuneration</b>						
<b>Sr. No.</b>	<b>Particulars of Remuneration</b>	<b>Rakesh Bhatt</b>	<b>Ajay Sathe</b>	<b>Ganesh Mohan</b>	<b>Sourabh Chatterjee</b>	<b>Total</b>
i	Fee for attending Board / Committee Meetings	-	-	-	-	-
ii	Commission	-	-	-	-	-
iii	Others	-	-	-	-	-
	<b>TOTAL (B)(2)</b>	-	-	-	-	-
	<b>TOTAL (B)(1) + (B)(2)</b>	-	-	-	-	-
	<b>Total Managerial Remuneration (A+B)</b>	-	-	-	-	-
	<b>Overall ceiling as per the Act</b>	-	-	-	-	-

**C. Remuneration to key managerial personnel other than managing director, whole-time directors and / or Manager during financial year 2019-20**

Sr. No.	Particulars of remuneration	Key Managerial Personnel		Total Amount (in Rs.)
	<b>Gross Salary</b>			
1	(a) Salary as per provisions contained in section 17 (1) of the income Tax Act, 1961	-	-	-
	(b) Value of perquisites under section 17 (2) of Income Tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17 (3) of Income Tax Act, 1961	-	-	-
2	<b>Stock Options</b>	-	-	-
3	<b>Sweat Equity</b>	-	-	-
4	<b>Commission</b>	-	-	-
	<b>-as % of profit</b>	-	-	-
	Others	-	-	-
5	<b>Others</b>	-	-	-
	Contribution to provident fund etc.	-	-	-
	<b>Total</b>	-	-	-

**VII. Penalties/punishment/compounding of offences**

During the year 2019-20, there were no penalties/punishment/compounding of offences under the Companies Act, 2013.